



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. RPS920000117US1

In Re Application of:

WILLIAM JOSEPH PIAZZA

Serial No. 09/918,132

Filed: July 30, 2001

For: **METHOD AND SYSTEM FOR
IDENTIFYING COMPATIBILITY
BETWEEN FIRMWARE IMAGES**

§
§
§
§
§
§
§
§
§
§
§

Examiner: UNKNOWN

Group No. 2621

RECEIVED

FEB 06 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

RECEIVED

FEB 12 2002

Assistant Commissioner for Patents
Washington, D. C. 20231

Technology Center 2100

Sir:

Applicant requests that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

CERTIFICATE OF MAILING	
37 CFR 1.8(A)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D. C. 20231.	
Date 11/15/2001	Signature Dena Farris

No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to IBM Corporation Deposit Account No. 50-0563.

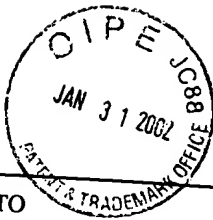


Respectfully submitted,

Richard N. McCain

Richard N. McCain
Registration No. 43,785
BRACEWELL & PATTERSON, L.L.P.
Suite 350 Lakewood on the Park
7600B North Capital of Texas Highway
Austin, Texas 78731
512.343.6116

ATTORNEY FOR APPLICANT(S)



Substitute form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

C mplete if Known

Application Number	09/918,132
Filing Date	July 30, 2001
First Named Inventor	William Joseph Piazza
Group Art Unit	2621
Examiner Name	Unknown
Attorney Docket Number	RPS920000117US1

Sheet	1	of	1
-------	---	----	---

U.S. PATENT DOCUMENTS

Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant	Publication Date MM-DD-YYYY
	AA	6,023,727	Barrett, et al.	02/08/2000
	AB	5,933,652	Chen, et al.	08/03/1999
	AC	5,878,256	Bealkowski, et al.	03/02/1999
	AD	5,826,075	Bealkowski, et al.	10/20/1998

RECEIVED

FEB 06 2002

Technology Center 2100

RECEIVED

FEB 12 2002

FOREIGN PATENT DOCUMENTS

Examiner Initials	IDS Doc #	Office	Foreign Patent Document No.	Name of Patentee or Applicant	Date of Publication	English Translation

Technology Center 2100

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	English Translation

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.